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With a Foreword by Brian Martin



Tells of Repression

The Paradox of Defection

RACHEL MACNAIR

Introduction

A major insight of nonviolent resistance is that power is not a physical property that people hold in their hands but is instead a psychological experience. If people perceive leaders as legitimate and cooperate with their rule, then the rulers have power, and the system will be strong and stable. If people perceive leaders as illegitimate but nevertheless cooperate for reasons such as fear or apathy, then the rulers still have power, but it will be unstable and weak—vulnerable to resistance whenever that fear or apathy is overcome (Johnstad 2012).

Repression happens when rulers who have not gained the confidence of their populations need to induce more fear. Though being competent at governing and avoiding corruption would be a much more stable way of ensuring the needed cooperation, people who think in terms of repression do not grasp this basic point. They have had positive experiences with getting the behavior they want through fear.

Repression requires police, soldiers, death squads, or similar people to carry it out. If a dictator orders repression and its agents do not follow the orders, then the ruler's power is lost right there, before even considering the reaction of the repressed population. Since police and soldiers are often recruited out of the population and have friends and

family members there, this refusal to follow orders does actually occur at times—especially when there is a trigger, such as a clearly stolen election, signaling that the time has come for those who are prepared to act to do so, knowing that others will act in concert. At other times, police and soldiers will continue to understand themselves to be on the side of order and against the forces of chaos, and this perception will allow them to engage in horrific acts of repression.

The potential for atrocity is especially high when portions of the population disagree with rebels concerning the legitimacy of the rulers or when the agents of repression have property interests they wish to protect. That is to say, some agents sincerely believe in the justice of what they are doing and feel a patriotic duty, while others are corrupt themselves, with no pretense to actual justice. In either case, it is a matter of basic psychology that they will usually rationalize to themselves that they are serving the public good and are entitled to their booty.

There is a practical question for the nonviolent revolution: How can we best encourage these agents of repression to defect and join noncooperation with the ruler? Psychological studies on successful attempts to psychologically disarm agents of repression are hard to come by, but a couple of historical studies illustrate the potential for repression management.

Cascio and Luthans (2013) focused on the experience of Nelson Mandela and several other South African political prisoners, who were held in abusive conditions at Robben Island from the mid-1960s until the end of apartheid in 1991. The authors drew from the prisoners' and guards' accounts, showing that Robben Island changed from a traditionally repressive institution into "one where the positively oriented prisoners disrupted the institution with a resulting climate of learning and transformation that eventually led to freedom and the end of apartheid" (51). The assertively friendly interactions the prisoners offered the guards had their effect.

A study of the 2000 Serbian movement to oust Milosevic and the 2004 Orange Revolution in Ukraine looks at how organizers developed strategies to undermine the willingness of the agents of regression to

commit violence against them (Binnendijk and Marovic 2006). There was no major crackdown in these two cases, and the strategies used contributed to that outcome—or perhaps were entirely responsible for it. Military personnel in both cases had lost pay and prestige under the regime, so activists could advocate for better treatment of military personnel and assert that helping the revolution was better serving the

To better understand the psychological underpinnings of repression and its management, we will first look at the ways that people can be induced to become agents of repression. Then we will examine the traumatizing impact this actually has on them. These investigations can help us understand the psychology of otherwise puzzling behavior and should offer major insights into how to deal with repression.

Experiments on How to Make Agents of Repression

How do rulers get soldiers, police, and others to engage in repression, and how can those crucial actors be persuaded to defect? Although this aspect of nonviolent resistance is not well researched to date, quite a few experiments deal with punitive or aggressive behavior and offer various insights; moreover, two classic psychological experiments deal more directly with inducing people to become agents of repression. We might think that would be a difficult thing to do in an artificial laboratory experiment, but in both cases, researchers were remarkably effective. Indeed, these kinds of experiments are no longer done as their method poses severe ethical problems.

Milgram Experiments—Destructive Obedience to Authority

In 1963, Yale psychologist Stanley Milgram and his colleagues performed a set of experiments that purported to be about learning but actually tested participants' willingness to administer supposed electric shocks to a supposed learner in another room (Milgram 1974; Blass 2000). Participants were told that learners who failed simple tests had to be shocked at levels that started out low but increased in intensity with each wrong answer the learner submitted. Eventually, by design,

there would be no correct answer, and a man in a lab coat would insist to participants that the experiment must continue, and that he would take responsibility for the completion of the tests, including the shocks that were to be administered. The researchers found that solid majorities of American participants, generally over two-thirds, progressed all the way to administering the highest level of shock, despite hearing noises of distress from the "learner" (who was in fact an actor). This set of studies launched one of the major findings of social psychology: even among people who bear no animosity to an immediate other—people who express that they are suffering great tension and who clearly state that they do not want to inflict pain on others—compliance with demands of authority is quite high. No threat or promise of rewards is necessary.

Why did this happen in the Milgram experiments? One reason is that the authority defined reality and what it meant. Another is that the participants had shifted all responsibility to the authority—the man in the lab coat explicitly stated that he was taking responsibility. So in spite of the fact that the participants were the ones administering what they were led to believe were increasingly severe shocks—much to their own dismay, as documented by video—they understood this as something the authority was doing, not something they were doing themselves. Therefore, it was the authority's responsibility rather than theirs. Finally, they had given their word that they would participate, so they understood nonparticipation as undermining the experiment and going against their word.

Similar experiments went on for years, by many different experimenters in different countries and using several different variations. They revealed little difference between compliance rates for nation, race, culture, class, gender, or how impressive the location of the experiment was. There was no change in the rate of compliance if the "learner" had a heart condition and thus would seem more vulnerable. However, there were some variables that triggered more participants to defect; that is, to refuse to seemingly administer higher levels of shocks:

- 1. The participants are shown that the "learner" has a contract to be released from the experiment on demand, and the experimenter is breaking the contract when insisting on continuing some drop, to 40 percent compliance.
- 2. The experimenter calls orders in to participants by phone, not face-to-face—dramatic drop, 20 percent compliance.
- Participants are free to choose the shock level-very dramatic drop, only 1 in 40 went to maximum.
- 4. A second experimenter argues with the first—all participants stop by time of or soon after argument.
- 5. A staged experiment is running at the same time in the same vicinity and in view, in which the participant rebels, thus offering a peer rebellion—dramatic drop—1 in 10 compliance.

impact on the ordinary officer in a civil resistance situation where he All of these variations deal with perceptions of the legitimacy of or she is receiving commands from a distance. Of course, if they are in a death squad that operates with considerable autonomy, where both conditions apply, they may have been selected because they are among the authority, and all can be applied to nonviolent movements. In reallife terms, the stolen election, or any other situation in which the ruler promised something and then does not deliver, fits the first situation of a contract being broken. The next two permutations, in which the authority is distant or allows participants some choice, can have an the 5-20 percent who comply in any event.

In any event, when framing conflicts with significant movements of monopoly on legitimacy and the authority to compel compliance of forced to order repression and violence, but then they may also lose the authority to compel their agents to repress challengers. In those cases, the population, police, and soldiers may take sides over which authority they prefer and find they prefer the nongovernmental one. the church or a large group of Buddhist monks or an out-of-country mullah argues with the government, then the government can lose its the public without direct violence. In such cases, authorities may feel What about when two authorities argue with each other? If, say,

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people, the nonviolent opposition is well-advised to find authoritative people whom police or soldiers respect. The real-world application would seem rather obvious: if one entity that is seen as an authority is a problem, then invite another entity with authority to counter the problem

menter was increased by a role model of noncompliance. Police and soldiers in similar circumstances might be inclined to continue to do In the "peer rebellion" variation, noncompliance with the experias they are told, but if they find themselves in a minority, the noncompliance from others in their group can have a significant impact on them as well.

The Stanford Prison Experiment

accepted psychological principles that promise to shed light on the The Stanford Prison Experiment, conducted in 1971 by Phil Zimbardo, Craig Haney, and other colleagues, generated further wellstudy of repression and its management. In this laboratory experiment, a simulated prison, designed to last two weeks, offered an arguably more realistic simulation of what agents of repression would be expected to do. In some ways, it was the opposite of the Milgram experiment. Instead of the experimenters encouraging more aggression, they tried to hold it in check. There was no deception. An institution—the jail and all of its personnel—rather than an individual, served as the critical source of authority.

Yet vast personality changes developed. Those playing the role of However, the study had to be called off after only six days when the researchers came to understand that they had themselves become guards became cruel. Those playing prisoners became inordinately depressed. Even the experimenters got sucked into their roles as caught up in an abusive and destructive group dynamic. All participants were college students, screened to fall within normal psychoprison administrators. A consultant who had been a former prisoner found himself saying the same things while playing a parole officer logical parameters and assigned randomly to prisoner or guard roles.

that he had hated when he had been a prisoner on the receiving end of abuse in prison.1

they came to understand she was right, and shut down the simulation Christina Maslach was a trained psychologist herself, busy with other to see how inhumane the situation had become. The participants and experimenters themselves could not see this. After much argument, The "terminator" of the experiment was a late entrant, an outsider. projects. She had not experienced the slow escalation from normal to abnormal behavior. Invited to observe after six days, she was appalled (Blass 2000).

ment serves on a much larger scale: not only intervening in an unjust She had considerable influence as a fiancée of Zimbardo and a colleague to the other psychologists, advantages normally lacking in mass social movements. Of course, social movements take more time to develop extensive and similarly influential networks—a normal and expected ments are fairly artificial and oversimplified (not the same as the much situation, but bringing insight to which the participants were blind. difference between the laboratory and the real world. Though experi-Maslach served on a small scale the function a nonviolent movemore complicated reality), they can offer valuable insight.

What It Takes to Keep People Repressing

their weapons at an exposed enemy soldier. Firing increased greatly if a nearby leader demanded it (as would be expected from Milgram's Though it may seem like a strange assertion given the prominence of repression throughout history, the human mind resists killing and ducted during World War II, when S. L. A. Marshall (1947) reported findings on obedience). But when left to their own devices, the great committing violence. One early study that suggested this was confrom postcombat interviews that only 15 to 20 percent of riflemen fired majority appeared to avoid killing. Some have questioned Marshall's

1. A full account of the experiment is available at the Stanford Prison Experiment website (www.prisonexp.org)

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leonic wars, American Civil War regiments, and numerous others results, but other studies with similar findings include French officers in the 1860s, Argentine firing rates in the Falkland War, the Napo-(Grossman 1995; Grossman and Siddle 2008).

that officers in these departments shot in just a fraction of the cases formally reported outside of law enforcement circles in the early 1980s, when a study of police shootings in four major cities disclosed that law and policy would allow" (Binder, Scharf, and Galvin 1982, 58). The same disinclination to kill extends to non-war situations as well: "Police hesitancy to fire even when life is in jeopardy was first

authorities want it focused, those instructed to carry it out require forms of police repression: actual violence is committed by a small ally doing harm to the target are common. To focus repression where training and the right conditions to overcome the natural human aver-Randall Collins (2008) shows from extensive photos and video recordings how this pattern applies to riots, bullying, and various portion of officers. Tension, fear, and a low "competence" in actusion to doing violence.

Conditioning and Desensitization

was a perfect example of the operant conditioning he had used so well shooting range and then fell when hit. Each hit was accompanied by tance to kill was deliberately put into practice. Bull's-eye targets were replaced with realistic man-shaped targets that popped up on the an intricate awards system, in what behaviorist B. F. Skinner remarked After World War II, the psychological means to overcome this resisto train pigeons (Grossman 1995, 253).

ively to emergency situations. The application of operant conditioning techniques did increase the rate of Americans firing their weapons information ahead of a catastrophe but condition children to behave a certain way when their thought processes are not at their best. With respond properly during a fire. Such exercises do not merely provide conditioning in flight simulators, frightened pilots can respond reflexened. For instance, fire drills condition terrified school children to This conditioning can influence behavior when people are fright-

in Korea and even more in Vietnam. Nevertheless, only a small portion fired frequently and only a small portion actually hit their targets (Grossman, 1995, 35).

Violent media as a whole—including movies and television shows with graphic violence—can also serve as a form of conditioning in a real-world setting called desensitization. Historically, the Roman gladiator games, the circus atmosphere at public executions, and similar popular violent entertainment could have served the same function. However, unlike realistic target practice or video games that develop shooting skills, in this case only the sight is being conditioned, not the action.

Fortunately, humans are not programmable robots. Conditioning is not some form of brainwashing that keeps people from thinking. It is only a form of training. Desensitization is easily countered by resensitization. Both conditioning and resensitization rely on a person finding the situation to be predictable. Therefore, novel and creative approaches can dissolve their influence rather quickly, if done with care. Soldiers and police are quite capable of deciding whether or not to use their training in a given situation—especially when the real-world situation does not present the kind of threat on which their training was based, and when there is no sense of imminent danger to themselves. Thus, nonviolent activists can strategically present agents of repression with circumstances that interrupt processes of conditioning and desensitization and thereby interrupt repression.

Group Solidarity

An external threat often increases group cohesion. Sometimes people remember wartime fondly as the time when petty quarrels ceased and people felt unified against the "enemy." This is a psychological experience that is often consciously utilized by commanders and rulers to bolster their power with the population as a whole and with their police and army in particular. (The 1997 film Wag the Dog presents a biting satire on this phenomenon.)

Police work together and army members often live together, so their sense of being a group that requires loyalty to one another

develops as a matter of course. Add any sense of danger, and the loyalty to one another becomes intense. This group solidarity can interfere with nonviolent activists' attempts to reach the consciences of individual police or soldiers, since the value of loyalty to colleagues is also a matter of conscience for them. Yet there are occasions when this solidarity works in favor of the nonviolent rebellion: once even a small portion of individuals see a need to either defect to the side of the nonviolent rebellion or at least lay down arms so as not to repress the rebellion, then others in their group may feel the need to join them. Group solidarity can work in both directions.

What Are They Thinking?

The mental processes that allow or encourage police, soldiers, and death squads to engage in repressive violence need to be understood to make any effective outreach to them possible. In individual situations, of course, that involves listening to and persuading individuals, but there are some overarching group processes that deserve further examination.

Mechanisms of Moral Disengagement

Albert Bandura and his colleagues (1996) argue the most inhumane behavior comes about when principles of moral conduct are disengaged—people find ways to disconnect their actions from fundamental norms of conduct. Mechanisms to remove inhibitions have been extensively documented in historical atrocities and confirmed in laboratory studies of punitive behavior. The main psychological mechanisms identified by Bandura and others include:

- Change how you think about the act. For example, try to figure out how it is morally justified, use euphemisms, or compare it to worse conduct.
- Put the responsibility elsewhere, either by giving it to an authority or by giving it to the victims (commonly called scapegoating)
- Discount the effects of atrocious behavior by minimizing, ignor ing, or distorting victims.
 Dehumanize the victims (Brennan 1995; Smith 2011).

One study tested the extent to which these mechanisms were used by capital punishment teams in the United States, compared with the support teams that provide solace to families involved, and compared to guards in the same prison who were not involved in carrying out executions at all. As would be expected, the execution staff had the highest level of justifying, disavowing personal responsibility, and dehumanizing (Osofsky, Bandura, and Zimbardo 2005).

The best and lengthiest study of agents of repression used extensive interviews with Brazilian police torturers and death squad participants, as discussed in the book Violence Workers (Huggins, Haritos-Fatouros, and Zimbardo 2002). Chapter 11 is devoted to illustrating how these mechanisms came up in the interviews carried out by the researchers. Interviewees explained that their acts were justified because of the Communist threat; they compared their own actions to those of other police by way of saying the others were bad and so they were not so bad by comparison; and they worded what they were doing in ways that made their actions sound less cruel. They blamed authorities for their orders, and they blamed their victims for being smug or not confessing. They understood their victims as less than human and indicated that they had no sense of how horrible a description of their actions would sound to others.

The authors compared yes-and-no answers from these Brazilian police about accepting responsibility for their actions or admitting their actions were wrong. There was only one case of someone both accepting responsibility and acknowledging the ethical problems with their work, simply admitting personal guilt. When individuals accepted personal responsibility but thought the act was not wrong, they engaged in justification, asserting that their cause was just. When they admitted the act was wrong but refused to accept personal responsibility, they blamed others, mainly their victims. Denial and shifting responsibility occurs when the act is not admitted as wrong nor is their personal responsibility for it.

How do nonviolent activists counter these processes of justification and denial? It depends on the situation, but if they look for signs

of these kinds of reasoning, they can label them and try to counter them directly.

One method is to make an analogy to something that activists and agents of repression have in common. Rather than make direct accusations, which would more likely lead to defensiveness on the part of those accused, activists can offer an indirect story or situation. The moral of the story can be appreciated because it applies to somebody else, but this eases later applying it to one's own situation. This technique of offering a perspective outside the immediate situation has been used effectively in education in intense conflicts. For Israelis and Palestinians, for example, one technique that seemed to work better than others was to teach them not about their own conflict but about conflict, they were able to learn about the dynamics of a similar conflict in a way that was not threatening and made sense to them. They could then apply what they learned to their own experience. They learned as outsiders first before learning directly (Salomon 2004).

Activists attempting to introduce new perspectives to agents of repression may cite authorities, institutions, or admired individuals to legitimize the new frames they introduce. In cases where the legitimacy of the regime is in serious question, there will generally be political or religious leaders, or even actors or sports figures, who are respected by the agents and can serve as persuaders, providing common connection between protesters and agents.

Fear

Bravado is common among people caught in an "us/them" mentality with "us" being understood as their own government and its supporters. But these people also often have fears that are important to consider. Agents of repression who are trained to not fear death or injury nevertheless fear the unknown, which may take various forms.

 The protesters are not only "them" to agents of repression but are also unknown. Only the course of time makes it possible for agents to predict how the protesters will behave.

- The authorities to whom agents of repression answer are another gerous, than usual? of the protests. Might they be angrier, and therefore more dancertain how those authorities will respond to the novel situation with those in a hierarchically superior position, they may be unhuge unknown. Even when agents are accustomed to dealing
- The future is unknown. If the rebels win and a regime is replaced, stake in the outcome of protest, and they could be very fearful repression be caught up in extreme punishment, or milder sancwill there be reconciliation, or a revenge spree? Will agents of employment? Once they have cast in their lot with one group tions, such as being social outcasts or having difficulty finding for the consequences to their families. (the current authorities) or the other (dissidents), they have a
- For those who take comfort in a well-established routine, including a job, salary, and basic services, the prospect of sweeping change can be a problem.

alleviate some fears of the future. The interests of agents of repression new leaders can help alleviate problems. that they do not perpetuate injustice, and sensitivity on this point by ing the prospect of reconciliation with agents of repression may help (jobs, salary, and access to services) can be maintained to the extent repression as patient yet firm interaction takes place. Protestors signal-How protestors behave can undermine fear among agents of

establishes human interaction and undermines stereotypes can work to be feared; they have been trained this way. Anything that instead severity of any repression that is deployed. against the normal fear reactions and thus diminish the likelihood or Finally, the agents of repression expect opponents to be monsters

Psychological Impact of Being an Agent of Repression

pression? Are acts of violence traumatizing to those who commit them? What is the psychological reaction these agents have to engaging in re-What insights will this give us as to how to persuade them to defect?

Perpetration-Induced Traumatic Stress

but also by inflicting violence on others. Not only is inflicting violence merely by being subjected to violence from others (which is known to There is indeed quite a bit of evidence that people are traumatized not very feature may make it worse as the mind cannot shield itself from 2002). Having more control in the situation does not protect against lence is actually more severe for perpetrators than victims (MacNair traumatic, but the evidence so far demonstrates that the trauma of viobe more traumatizing than suffering from hurricanes or car accidents) the horror by legitimately placing blame elsewhere. being traumatized by one's own acts of perpetration-in fact, that

observed but also including people who carry out executions, police range of groups, starting with the combat veterans in whom it was first caused the violence (MacNair 2002). This concept applies to a wide stress (PITS) for PTSD symptoms caused by being the person who tic literature. I have coined the term perpetration-induced traumatic traumatic stress disorder (PTSD), a well-defined term in the diagnosgovernmental orders for repression. who shoot in the line of duty, and soldiers and police who carry out The current term in psychology for post-trauma reactions is post-

Symptoms of PTSD are divided into clusters.

- Various ways of re-experiencing the trauma:
- constant intrusive thoughts
- repeating dreams about the event
- flashbacks, which are rather like dreams when still awake
- intense reactions to reminders of the trauma
- 2. Avoidance of reminders of the event
- 3. Negative thinking and moods:
- feeling emotionally numb
- feeling estranged and detached from other people
- inability to remember key aspects of the event(s)
- trouble concentrating
- feeling a sense of foreshortened future

and socially redefine the situation. selves, allowing more opportunities to undermine fear of one another interaction between agents of repression and the protesters themsion to jump ship. More protesters also generate more chances for that the campaign will succeed and that it is time for agents of repres-

potential for rebellion to authority. One major condition that could obedience to authority. However, the experiments also revealed the thorities often define the situation so as to bring about destructive so if one is a problem, activists can try bringing in another to counter major condition is that there are authorities who argue with each other, prompt rebellion is that a contract that the authority made is broken the first (say, the government and the main religious institution). (say, a stolen election, or not paying agents of repression). Another The Milgram electroshock experiments demonstrated that au-

Maslach, who initiated the termination of the Stanford Prison Experiarouse defenses. Then they are in a better position to apply what they ics of repression through reference to an outside conflict with which ment. Teaching the agents and those in their circles about the dynamable to see what is not obvious to insiders, as happened with Christina have learned to their own situation. they have nothing to do can allow them to learn in a way that does not tance of outside insight and influence. In some cases, outsiders are Another strategy that psychology experiments affirm is the impor-

be more impressed by words from authorities they respect than by the ing nonviolent civil resistance campaigns. However, agents will often them in the aftermath of conflict, all of which can be addressed duroften have fears, especially of their superiors and of what happens to protesters, it can also serve as an aid when dealt with carefully. Agents idarity among the agents, and while this often works as a front against can break through this conditioning. There tends to be high group solnovel and creative approaches crafted by people who are aware of this Agents of repression have been conditioned and desensitized, but

trauma symptoms from committing acts of violence. It would be a Finally, there is the pioneering area of dealing with PITS, post-

> does and does not work, and then offer more practical advice. field of nonviolent action progresses, we should be able to study what need to understand the concepts that these studies might test. As the ferent cultures. However, we do not yet have studies, because first we done so far and give advice on techniques that might be adapted to difmore satisfying conclusion to report on what interventions have been

at the amazing discoveries in the field of violence. But I maintain that can we encourage defections if we are educated and mindful of the friendly, being understanding, and making it clear to potential defecingly, is one of those discoveries that more experience can give us. such discoveries. In particular, knowing how violent acts are traumais a treasure trove of concepts and experiments that can be explored for far more undreamt of and seemingly impossible discoveries will be psychological dynamics that can lead to them? As Mohandas Gandhi tors that being among the protesters is a safe place. How much more tizing to those who commit them, and crafting interventions accordmade in the field of nonviolence." In general, the study of psychology ([1940] 2005, 80) said, "We are constantly being astonished these days Nonviolent activists have attempted to cause defections by being

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